

SECOND STRUCTURE RELATION FOR q -SEMICLASSICAL POLYNOMIALS OF THE HAHN TABLEAU

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ABSTRACT. q -Classical orthogonal polynomials of the q -Hahn tableau are characterized from their orthogonality condition and by a first and a second structure relation. Unfortunately, for the q -semiclassical orthogonal polynomials (a generalization of the classical ones) we find only in the literature the first structure relation. In this paper, a second structure relation is deduced. In particular, by means of a general finite-type relation between a q -semiclassical polynomial sequence and the sequence of its q -differences such a structure relation is obtained.

1. INTRODUCTION

The q -Classical orthogonal polynomial sequences (Big q -Jacobi, q -Laguerre, Al-Salam Carlitz I, q -Charlier, etc.) are characterized by the property that the sequence of its monic q -difference polynomials is, again, orthogonal (Hahn's property, see [6]). In fact, the q -difference operator is a particular case of the Hahn operator which is defined as follows

$$L_{q,\omega}(f)(x) = \frac{f(qx + \omega) - f(x)}{(q-1)x + \omega}, \quad \omega \in \mathbb{C}, q \in \mathbb{C}, |q| \neq 1.$$

In the sequel, we are going to work with q -semiclassical orthogonal polynomials and q -classical polynomials of the Hahn Tableau, hence we will consider the q -linear lattice $x(s)$, i.e. $x(s+1) = qx(s) + \omega$. Therefore, for the sake of convenience we will denote $\Delta^{(1)} \equiv L_{q,\omega}$. Notice that for $q = 1$ we get the forward difference operator Δ . In such a case, when $w \rightarrow 0$ we recover the standard semiclassical orthogonal polynomials [13].

Taking into account the role of such families of q -polynomials in the analysis of hypergeometric q -difference equations resulting from physical problems as the q -Schrödinger equation, q -harmonic oscillators, the connection and the linearization problems among others there is an increasing interest to study them. Moreover, the connection between the representation theory of quantum algebras and the q -orthogonal polynomials is well known (see [2] and references therein).

We also find many different approaches to the subject in the literature. For instance, the functional equation (the so-called Pearson equation) satisfied by the corresponding moment functionals allows an efficient study of some properties of q -classical polynomials [3], [7], [8], [17]. However, the q -classical sequences of orthogonal polynomials $\{C_n\}_{n \geq 0}$ can also be characterized taking into account its orthogonality as well as one of the two following difference equations, the so-called structure relations.

- *First structure relation* [1], [9], [18]

$$(1) \quad \Phi(s)C_n^{[1]}(s) = \sum_{\nu=n}^{n+t} \lambda_{n,\nu} C_\nu(s), \quad n \geq 0, \quad \lambda_{n,n} \neq 0, \quad n \geq 0,$$

where Φ is a polynomial with $\deg \Phi = t \leq 2$ and $C_n^{[1]}(s) := [n+1]^{-1} \Delta^{(1)} C_{n+1}(s)$, being

$$[n] := (q^n - 1)/(q - 1), \quad n \geq 0.$$

- *Second structure relation* [16, 17]

$$(2) \quad C_n(s) = \sum_{\nu=n-t}^n \theta_{n,\nu} C_\nu^{[1]}(s), \quad n \geq t, \quad 0 \leq t \leq 2, \quad \theta_{n,n} = 1, \quad n \geq t.$$

The q -classical orthogonal polynomials were introduced by W. Hahn [6] and also analyzed in [1]. The generalization of this families leads to q -semiclassical orthogonal polynomials which were introduced by P. Maroni and extensively studied in the last decade by himself, L. Kheriji, J. C. Medem, and others (see [7, 16]).

For q -classical orthogonal polynomial sequences, which are q -semiclassical of class zero, the structure relations (1) and (2) become

$$\phi(s)L_{q,\omega}P_n(s) = \tilde{\alpha}_n P_{n+1}(s) + \tilde{\beta}_n P_n(s) + \tilde{\gamma}_n P_{n-1}(s), \quad \tilde{\gamma}_n \neq 0,$$

$$\sigma(s)L_{1/q,\omega/q}P_n(s) = \hat{\alpha}_n P_{n+1}(s) + \hat{\beta}_n P_n(s) + \hat{\gamma}_n P_{n-1}(s), \quad \hat{\gamma}_n \neq 0,$$

$$P_n(s) = P_n^{[1]}(s) + \delta_n P_{n-1}^{[1]}(s) + \epsilon_n P_{n-2}^{[1]}(s).$$

In particular, in Table 1 we describe these parameters for some families of q -classical orthogonal polynomials.

The first structure relation for the q -semiclassical orthogonal polynomials was established (see [7]), and it reads as follows.

An orthogonal polynomial sequence, $\{B_n\}_{n \geq 0}$, is said to be **q -semiclassical** if

$$\Phi(s)B_n^{[1]}(s) = \sum_{\nu=n-\sigma}^{n+t} \lambda_{n,\nu} B_\nu(s), \quad n \geq \sigma, \quad \lambda_{n,n-\sigma} \neq 0, \quad n \geq \sigma+1,$$

where Φ is a polynomial of degree t and σ is a non-negative integer such that $\sigma \geq \max\{t-2, 0\}$. Recently, F. Marcellán and R. Sfaxi [12] have established a second structure relation for the standard semiclassical polynomials which reads as follows

Theorem 1.1. *For any integer $\sigma \geq 0$, any monic polynomial Φ , with $\deg \Phi = t \leq \sigma+2$, and any SMOP $\{B_n\}_{n \geq 0}$ with respect to a linear functional u , the following statements are equivalent.*

- (i) *There exist an integer $p \geq 1$ and an integer $r \geq \sigma + t + 1$, with $\sigma = \max(t-2, p-1)$, such that*

$$\sum_{\nu=n-\sigma}^{n+\sigma} \xi_{n,\nu} B_\nu(x) = \sum_{\nu=n-t}^{n+\sigma} \varsigma_{n,\nu} B_\nu^{[1]}(x), \quad n \geq \max(\sigma, t+1), \quad (3.36)$$

where $B_n^{[1]}(x) = (n+1)^{-1} B'_{n+1}(x)$,

$$\xi_{n,n+\sigma} = \varsigma_{n,n+\sigma} = 1, \quad n \geq \max(\sigma, t+1), \quad \xi_{r,r-\sigma} \varsigma_{r,r-t} \neq 0,$$

$$\langle (\Phi u)', B_n \rangle = 0, \quad p+1 \leq n \leq 2\sigma+t+1, \quad \langle (\Phi u)', B_p \rangle \neq 0, \quad (\sigma \geq 1),$$

and if $p = t-1$ then $\langle u, B_p^2 \rangle^{-1} \langle u, \Phi B'_p \rangle \notin \mathbb{N}^*$.

- (ii) *The linear functional u satisfies*

$$(\Phi u)' + \Psi u = 0,$$

where the pair (Φ, Ψ) is admissible, i.e. the polynomial Φ is monic, $\deg \Phi = t$, $\deg \Psi = p \geq 1$ and if $p = t-1$ then $\frac{1}{n!} \Psi^{(n)}(0) \notin -\mathbb{N}^*$, with associated integer σ .

Now, we are going to extend this result for the q -semiclassical polynomials of the Hahn Tableau. Some years ago, P. Maroni and R. Sfaxi [15] introduced the concept of diagonal sequence for the standard semiclassical polynomials. The following definition extends this definition to the q -semiclassical case.

Definition 1.1. *Let $\{B_n\}_{n \geq 0}$ be a sequence of monic orthogonal polynomials and ϕ a monic polynomial with $\deg \phi = t$. When there exists an integer $\sigma \geq 0$ such that*

$$(3) \quad \phi(s)B_n(s) = \sum_{\nu=n-\sigma}^{n+t} \theta_{n,\nu} B_\nu^{[1]}(s), \quad \theta_{n,n-\sigma} \neq 0, \quad n \geq \sigma,$$

the sequence $\{B_n\}_{n \geq 0}$ is said to be **diagonal associated with ϕ and index σ** .

(A_1) Big q -Jacobi	
$\widehat{P}_n(x; a, b, c; q)$	$x \equiv x(s) = q^s$
$P_n^{[1]}(x; a, b, c; q) = q^{-n} \widehat{P}_n(qx; aq, bq, cq; q)$	
$\phi(x) = aq(x-1)(bx-c)$	$\sigma(x) = q^{-1}(x-aq)(x-cq)$
$\widehat{\alpha}_n = abq[n]$	$\widetilde{\alpha}_n = q^{-n}[n]$
$\widehat{\beta}_n = -aq[n](1-abq^{n+1})$	$\frac{c + ab^2q^{2n+1} + b(1-cq^n - cq^{n+1} - aq^n(1+q-cq^{n+1}))}{(1-abq^{2n})(1-abq^{2n+2})}$
$\widetilde{\beta}_n = q[n](1-abq^{n+1})$	$\frac{c + a^2bq^{2n+1} + a(1-cq^n - cq^{n+1} - bq^n(1+q-cq^{n+1}))}{(1-abq^{2n})(1-abq^{2n+2})}$
$\widehat{\gamma}_n = aq[n]$	$\frac{(1-aq^n)(1-bq^n)(1-abq^n)(c-abq^n)(1-cq^n)(1-abq^{n+1})}{(1-abq^{2n})^2(1-abq^{2n-1})(1-abq^{2n+1})}$
$\widetilde{\gamma}_n = q^n \widehat{\gamma}_n$	$\delta_n = -\frac{q^n(1-q)}{1-abq^{n+1}} \widehat{\beta}_n$ $\epsilon_n = abq^{2n} \frac{(1-q^{n-1})(1-q)}{(1-abq^n)(1-abq^{n+1})} \widehat{\gamma}_n$
(A_2) q -Laguerre	
$\widehat{L}_n^{(\alpha)}(x; q)$	$x \equiv x(s) = q^s$
$L_n^{[1](\alpha)}(x; q) = q^{-n} \widehat{L}_n^{(\alpha+1)}(qx; q)$	
$\phi(x) = ax(x+1)$	$\sigma(x) = q^{-1}x$
$\widehat{\alpha}_n = a[n]$	$\widehat{\beta}_n = q^{-2n-1}[n](1+q-aq^{n+1})$ $\widehat{\gamma}_n = a^{-1}q^{1-4n}[n](1-aq^n)$
$\widetilde{\alpha}_n = 0$	$\widetilde{\beta}_n = q^{-n}[n]$ $\widetilde{\gamma}_n = a^{-1}q^{1-3n}(1-aq^n)$
$\delta_n = a^{-1}(1-q)\widehat{\beta}_n$	$\epsilon_n = a^{-1}(1-q^{n-1})(1-q)\widehat{\gamma}_n$
(A_3) Al-Salam Carlitz I	
$\widehat{U}_n^{(a)}(x; q)$	$x \equiv x(s) = q^s$
$U_n^{[1](a)}(x; q) = \widehat{U}_n^{(a)}(x; q)$	
$\phi(x) = a$	$\sigma(x) = (1-x)(a-x)$ $\widetilde{\alpha}_n = q^{1-n}[n]$ $\widetilde{\beta}_n = q(1+a)[n]$ $\widetilde{\gamma}_n = aq^n[n]$
(A_4) q -Charlier	
$\widehat{C}_n(q^{-s}; a; q)$	
$C_n^{[1]}(q^{-s}; a; q) = \widehat{C}_n(q^{-s}; aq^{-1}; q)$	
$\phi(x) = x(x-1)$	$\sigma(x) = q^{-1}ax$
$\widehat{\alpha}_n = [n]$	$\widehat{\beta}_n = q^{-2n-1}[n](a+aq+q^{n+1})$ $\widehat{\gamma}_n = aq^{1-4n}[n](a+q^n)$
$\widetilde{\alpha}_n = 0$	$\widetilde{\beta}_n = aq^{-n}[n]$ $\widetilde{\gamma}_n = q^n \widehat{\gamma}_n$ $\delta_n = (1-q)\widehat{\beta}_n$ $\epsilon_n = (1-q^{n-1})(1-q)\widehat{\gamma}_n$

TABLE 1. Some families of q -polynomials of the Hahn Tableau

Obviously, the above finite-type relation, that we will call diagonal relation, is nothing else than an example of second structure relation for such a family. But, some q -semiclassical orthogonal polynomials are not diagonal. As an example, we can mention the case of a q -semiclassical polynomial sequence $\{Q_n\}_{n \geq 0}$ orthogonal with respect to the linear functional v , such that the functional equation: $\Delta^{(1)}v = \Psi v$, with $\deg \Psi = 2$, holds. In fact, the sequence $\{Q_n\}_{n \geq 0}$ satisfies the following relation

$$(x(s+1) + v_{n,0})Q_n(s) = qQ_{n+1}^{[1]}(s) + \rho_n Q_n^{[1]}(s), \quad n \geq 0,$$

where the lattice, $x(s)$, is q -linear, i.e. $x(s+1) - qx(s) = \omega$,

$$\begin{aligned}\rho_n &= \frac{q^{n+1} [n+1]}{\mathfrak{C} \gamma_{n+1}}, \quad n \geq 1, \quad \rho_0 = 0, \\ v_{n,0} &= \frac{\gamma_{n+2} \gamma_{n+1}}{q^n [n+2]} \mathfrak{C} + \rho_n - q\beta_n - \omega, \quad n \geq 0.\end{aligned}$$

Here \mathfrak{C} is a constant, γ_n and β_n are the coefficients of the three-term recurrence relation (TTRR) that the orthogonal polynomial sequence $\{Q_n\}_{n \geq 0}$ satisfies. In fact, this sequence is not diagonal and it will be analyzed more carefully in § 5.1.

The aim of our contribution is to give, under certain conditions, the second structure relation characterizing a q -semiclassical polynomial sequence by a new relation between the sequence of q -polynomials, $\{B_n\}_{n \geq 0}$, and the polynomial sequence of monic q -differences, $\{B_n^{[1]}\}_{n \geq 0}$, as follows

$$\sum_{\nu=n-\sigma}^{n+\sigma} \xi_{n,\nu} B_\nu(s) = \sum_{\nu=n-t}^{n+\sigma} \varsigma_{n,\nu} B_n^{[1]}(s), \quad n \geq \max(t+1, \sigma),$$

where $\xi_{n,n+\sigma} = \varsigma_{n,n+\sigma} = 1$, $n \geq \max(t+1, \sigma)$, and there exists $r \geq \sigma + t + 1$ such that $\xi_{r,r-\sigma} \varsigma_{r,r-t} \neq 0$.

Notice that when $\sigma = 0$ we get the second structure relation (2).

2. PRELIMINARIES AND NOTATION

Let u be a linear functional in the linear space \mathbb{P} of polynomials with complex coefficients and let \mathbb{P}' be its algebraic dual space, i.e., the linear space of the linear functionals defined on \mathbb{P} . We will denote by $\langle u, f \rangle$ the action of $u \in \mathbb{P}'$ on $f \in \mathbb{P}$ and by $(u)_n := \langle u, x^n \rangle$, $n \geq 0$, the moments of u with respect to the sequence $\{x^n\}_{n \geq 0}$.

Let us define the following operations in \mathbb{P}' . For any polynomial h and any $c \in \mathbb{C}$, let $\Delta^{(1)}u$, hu , and $(x-c)^{-1}u$ be the linear functionals defined on \mathbb{P} by (see [14, 7])

- (i) $\langle \Delta^{(1)}u, f \rangle := -\langle u, \Delta^{(1)}f \rangle$, $f \in \mathbb{P}$,
- (ii) $\langle gu, f \rangle := \langle u, gf \rangle$, $f, g \in \mathbb{P}$,
- (iii) $\langle (x-c)^{-1}u, f \rangle := \langle u, \theta_c(f) \rangle$, $f \in \mathbb{P}$, $c \in \mathbb{C}$, where $\theta_c(f)(x) = \frac{f(x)-f(c)}{x-c}$.

Furthermore, for any linear functional u and any polynomial g we get

$$(4) \quad L_{q,\omega}(gu) := \Delta^{(1)}(gu) = g(q^{-1}(x-\omega))\Delta^{(1)}u + \Delta^{(1)}(g(q^{-1}(x-\omega)))u.$$

Let $\{B_n\}_{n \geq 0}$ be a sequence of monic polynomials (SMP) with $\deg B_n = n$, $n \geq 0$, and $\{u_n\}_{n \geq 0}$ its dual sequence, i.e. $u_n \in \mathbb{P}'$, $n \geq 0$, and $\langle u_n, B_m \rangle := \delta_{n,m}$, $n, m \geq 0$, where $\delta_{n,m}$ is the Kronecker symbol. The next results are very well-known [7].

Lemma 2.1. *For any $u \in \mathbb{P}'$, and any integer $m \geq 1$, the following statements are equivalent.*

- (i) $\langle u, B_{m-1} \rangle \neq 0$, $\langle u, B_n \rangle = 0$, $n \geq m$.
- (ii) *There exist $\lambda_\nu \in \mathbb{C}$, $0 \leq \nu \leq m-1$, $\lambda_{m-1} \neq 0$, such that $u = \sum_{\nu=0}^{m-1} \lambda_\nu u_\nu$.*

On the other hand, it is straightforward to prove

Lemma 2.2. *For any $(\hat{t}, \hat{\sigma}, \hat{r}) \in \mathbb{N}^3$, $\hat{r} \geq \hat{\sigma} + \hat{t} + 1$ and any sequence of monic polynomials $\{\Omega_n\}_{n \geq 0}$, $\deg \Omega_n = n$, $n \geq 0$, with dual sequence $\{w_n\}_{n \geq 0}$ such that*

$$\begin{aligned}\Omega_n(x) &= \sum_{\nu=n-\hat{t}}^n \lambda_{n,\nu} B_\nu(x), \quad n \geq \hat{t} + \hat{\sigma} + 1, \quad \lambda_{\hat{r}, \hat{r}-\hat{t}} \neq 0, \\ \Omega_n(x) &= B_n(x), \quad 0 \leq n \leq \hat{t} + \hat{\sigma},\end{aligned}$$

we have that $w_k = u_k$ for every $0 \leq k \leq \hat{\sigma}$.

The linear functional u is said to be quasi-definite if, for every non-negative integer, the leading principal Hankel submatrices $H_n = ((u)_{i+j})_{i,j=0}^n$ are non-singular for every $n \geq 0$. Assuming u is quasi-definite, there exists a sequence of monic polynomials $\{B_n\}_{n \geq 0}$ such that (see [4])

- (i) $\deg B_n = n$, $n \geq 0$,
- (ii) $\langle u, B_n B_m \rangle = r_n \delta_{n,m}$, with $r_n = \langle u, B_n^2 \rangle \neq 0$, $n \geq 0$.

The sequence $\{B_n\}_{n \geq 0}$ is said to be the sequence of monic orthogonal polynomials, in short SMOP with respect to the linear functional u .

If $\{B_n\}_{n \geq 0}$ is a SMOP, with respect to the quasi-definite linear functional u , then it is well-known (see [14]) that its corresponding dual sequence $\{u_n\}_{n \geq 0}$, is

$$(5) \quad u_n = r_n^{-1} B_n u, \quad n \geq 0.$$

Remark 2.1. We assume $u_0 = u$, i.e. the linear functional u is normalized.

On the other hand, (see [4]), the sequence $\{B_n\}_{n \geq 0}$ satisfies a three-term recurrence relation (TTRR)

$$(6) \quad B_{n+1}(x) = (x - \beta_n)B_n(x) - \gamma_n B_{n-1}(x), \quad n \geq 0,$$

with $\gamma_n \neq 0$, $n \geq 1$ and $B_{-1}(x) = 0$, $B_0(x) = 1$.

Conversely, given a SMP, $\{B_n\}_{n \geq 0}$, generated by a recurrence relation (6) as above with $\gamma_n \neq 0$, $n \geq 1$, there exists a unique normalized quasi-definite linear functional u such that the family $\{B_n\}_{n \geq 0}$ is the corresponding SMOP. This result is known as Favard Theorem (see [4]).

An important family of linear functionals is constituted by the q -semiclassical linear functionals, i.e., when u is quasi-definite and satisfies

$$(7) \quad \Delta^{(1)}(\Phi u) = \Psi u.$$

Here (Φ, Ψ) is an admissible pair of polynomials, i.e., the polynomial Φ is monic, $\deg \Phi = t$, $\deg \Psi = p \geq 1$, and if $p = t - 1$, then the following condition holds

$$\lim_{q \uparrow 1} \frac{1}{[p]!} [\Delta^{(1)}]^p \Psi(0) := \lim_{q \uparrow 1} \frac{1}{[p]!} \overbrace{\Delta^{(1)} \cdots \Delta^{(1)}}^p \Psi(0) \neq -n, \quad n \in \mathbb{N}^*,$$

where $[m]! = [1][2] \cdots [m]$, $m \in \mathbb{N}^*$, is the q -analog of the usual factorial.

The pair (Φ, Ψ) is not unique. In fact, under certain conditions (7) can be simplified, so we define the class of u as the minimum value of $\max(\deg(\Phi) - 2, \deg(\Psi) - 1)$, for all admissible pairs (Φ, Ψ) . The pair (Φ, Ψ) giving the class σ ($\sigma \geq 0$ because $\deg(\Psi) \geq 1$) is unique [7].

When u is q -semiclassical of class σ , the corresponding SMOP is said to be q -semiclassical of class σ .

When $\sigma = 0$, i.e., $\deg \Phi \leq 2$ and $\deg \Psi = 1$, then u is q -classical (Askey-Wilson, q -Racah, Big q -Jacobi, q -Charlier, etc). For more details see [10, 17, 18].

3. MAIN RESULTS

First, we will present particular cases of *diagonal sequences*.

Let $\{P_n\}_{n \geq 0}$ and $\{Q_n\}_{n \geq 0}$ be sequences of monic polynomials, $\{v_n\}_{n \geq 0}$ and $\{w_n\}_{n \geq 0}$ their corresponding dual sequences. Let ϕ be a monic polynomial of degree t .

Definition 3.1. The sequence $\{P_n\}_{n \geq 0}$ is said to be compatible with ϕ if $\phi v_n \neq 0$, $n \geq 0$.

Lemma 3.1. [14, Prop. 2.1] Let ϕ be as above. For any sequence $\{P_n\}_{n \geq 0}$ compatible with ϕ , the following statements are equivalent.

- (i) There is an integer $\sigma \geq 0$ such that

$$(8) \quad \phi(x)Q_n(x) = \sum_{\nu=n-\sigma}^{n+t} \lambda_{n,\nu} P_\nu(x), \quad n \geq \sigma,$$

$$(9) \quad \exists r \geq \sigma : \quad \lambda_{r,r-\sigma} \neq 0.$$

(ii) There are an integer $\sigma \geq 0$ and a mapping from \mathbb{N} into $\mathbb{N} : m \mapsto \mu(m)$ satisfying

$$(10) \quad \max\{0, m - t\} \leq \mu(m) \leq m + \sigma, \quad m \geq 0,$$

$$(11) \quad \exists m_0 \geq 0 \quad \text{with} \quad \mu(m_0) = m_0 + \sigma,$$

such that

$$(12) \quad \begin{aligned} \phi v_m &= \sum_{\nu=m-t}^{\mu(m)} \lambda_{\nu,m} w_\nu, \quad m \geq t, \\ \lambda_{\mu(m),m} &\neq 0, \quad m \geq 0. \end{aligned}$$

Proposition 3.1. [14, Prop. 2.2] Assume $\{Q_n\}_{n \geq 0}$ is orthogonal and $\{P_n\}_{n \geq 0}$ is compatible with ϕ . Then the sequences $\{P_n\}_{n \geq 0}$ and $\{Q_n\}_{n \geq 0}$ fulfil the finite-type relations (8)-(9) if and only if there are an integer $\sigma \geq 0$ and a mapping from \mathbb{N} into $\mathbb{N} : m \mapsto \mu(m)$ satisfying (10) and (11). Moreover, there exist $\{k_m\}_{m \geq 0}$ and a sequence $\{\Lambda_{\mu(m)}\}_{m \geq 0}$ of monic polynomials with $\deg(\Lambda_{\mu(m)}) = \mu(m)$, $m \geq 0$, such that

$$(13) \quad \phi v_m = k_m \Lambda_{\mu(m)} w_0, \quad m \geq 0.$$

From these two results we get

Corollary 3.1. [15, Prop. 1.6] Let ϕ be as above. For sequences of monic orthogonal polynomials (SMOP) $\{P_n\}_{n \geq 0}$ and $\{B_n\}_{n \geq 0}$ orthogonal with respect to linear functionals v and u , respectively, the following statements are equivalent.

(i) There exists an integer $\sigma \geq 0$ such that

$$\phi(s)P_n(s) = \sum_{\nu=n-\sigma}^{n+t} \lambda_{n,\nu} B_\nu^{[1]}(s), \quad \lambda_{n,n-\sigma} \neq 0, \quad n \geq \sigma.$$

(ii) There exists a monic polynomial sequence $\{\Omega_{n+\sigma}\}_{n \geq 0}$, with $\deg(\Omega_{n+\sigma}) = n + \sigma$, $n \geq 0$ and non-zero constants k_n , $n \geq 0$ such that

$$(14) \quad \phi u_n^{[1]} = k_n \Omega_{n+\sigma} v_0.$$

where $\{u_n^{[1]}\}_{n \geq 0}$ is the dual sequence of $\{B_n^{[1]}\}_{n \geq 0}$.

Thus we can prove

Proposition 3.2. Any diagonal sequence, $\{B_n\}_{n \geq 0}$, orthogonal with respect a linear functional u is necessarily semiclassical and u satisfies

$$(15) \quad \Delta^{(1)}(\phi(qx + \omega)\Omega_{n+\sigma}(x)u) = \psi_n(x)u, \quad n \geq 0,$$

where

$$(16) \quad \psi_n(s) = \frac{\phi(s+1) - \phi(s-1)}{\Delta x(s)} \Omega_{n+\sigma}(s) - d_n \phi(s) \phi(s-1) B_{n+1}(s),$$

and

$$(17) \quad d_n = [n+1] \frac{\langle u, B_{n+\sigma}^2 \rangle}{\langle u, B_{n+1}^2 \rangle \lambda_{n+\sigma,n}}, \quad n \geq 0.$$

Furthermore, the sequence $\{\Omega_{n+\sigma}\}_{n \geq 0}$ satisfies

$$(18) \quad \Omega_{n+\sigma}(s) \Delta^{(1)} \Omega_\sigma(s) - \Omega_\sigma(s) \Delta^{(1)} \Omega_{n+\sigma}(s) = \phi(s+1) \{d_n \Omega_\sigma(s) B_{n+1}(s+1) - d_0 \Omega_{n+\sigma}(s) B_1(s+1)\}.$$

Proof: Let $\{B_n\}_{n \geq 0}$ be a diagonal sequence in the sense of Definition 1.1 and assume the linear functional u is normalized. Then from Lemma 3.1 there exist a sequence of monic polynomials $\{\Omega_{n+\sigma}\}_{n \geq 0}$ and non-zero constants $\{k_n\}_{n \geq 0}$ such that

$$\phi u_n^{[1]} = k_n \Omega_{n+\sigma} u.$$

Then

$$(19) \quad \begin{aligned} k_n \Delta^{(1)}(\Omega_{n+\sigma} u) &= \Delta^{(1)}(\phi(q^{-1}(x - \omega))) u_n^{[1]} + \phi(q^{-1}(x - \omega)) \Delta^{(1)} u_n^{[1]} \\ &= \Delta^{(1)}(\phi(q^{-1}(x - \omega))) u_n^{[1]} - \frac{[n+1]}{\langle u, B_{n+1}^2 \rangle} \phi(q^{-1}(x - \omega)) B_{n+1}(x) u(s), \end{aligned}$$

as well as

$$(20) \quad \Delta^{(1)}(\phi(s)\phi(s-1)) = \phi(s) \frac{\phi(s+1) - \phi(s-1)}{\Delta x(s)}.$$

Combining (19) and (20), a straightforward calculation yields (15), (16), and (17).

Taking (15) for $n = 0$ and cancelling out $\Delta^{(1)}(\phi(qx + \omega)u)$, from the quasi-definite character of u we obtain (18). \square

Corollary 3.2. [15, Corollary 2.3] *If $\{B_n\}_{n \geq 0}$ is a diagonal sequence given by (3), then we get*

$$(21) \quad \frac{1}{2}t \leq \sigma \leq t + 2.$$

For a linear functional u , let (Φ, Ψ) be the minimal admissible pair of polynomials with Φ monic, $\deg \Phi = t$, and $\deg \Psi = p \geq 1$, defined as above. To this pair we can associate the non-negative integer $\sigma := \max(t - 2, p - 1) \geq 0$.

Now, given $\{B_n\}_{n \geq 0}$, a SMOP with respect to u , we get

$$(22) \quad \Phi(s) B_n^{[1]}(s) = \sum_{\nu=0}^{n+t} \lambda_{n,\nu} B_\nu(s), \quad n \geq \max(t - 1, 0),$$

where $\lambda_{n,n+t} = 1$ and

$$\begin{aligned} \lambda_{n,\nu} &= r_\nu^{-1} \langle u, \Phi(s) B_n^{[1]}(s) B_\nu(s) \rangle = \frac{r_\nu^{-1}}{[n+1]} \langle B_\nu \Phi u, \Delta^{(1)} B_{n+1} \rangle \\ &= -\frac{r_\nu^{-1}}{[n+1]} \langle B_\nu(q^{-1}(x - \omega)) \Delta^{(1)}(\Phi u) + \Delta^{(1)}(B_\nu(q^{-1}(x - \omega))) \Phi u, B_{n+1} \rangle, \quad 0 \leq \nu \leq n + t. \end{aligned}$$

Lemma 3.2. [7, Prop. 3.2] *For any monic polynomial Φ , $\deg \Phi = t$, and any SMOP $\{B_n\}_{n \geq 0}$ with respect to u , the following statements are equivalent.*

(i) *There exists a non-negative integer σ such that*

$$(23) \quad \Phi(s) B_n^{[1]}(s) = \sum_{\nu=n-\sigma}^{n+t} \lambda_{n,\nu} B_\nu(s), \quad n \geq \sigma,$$

$$(24) \quad \lambda_{n,n-\sigma} \neq 0, \quad n \geq \sigma + 1.$$

(ii) *There exists a polynomial Ψ , $\deg \Psi = p \geq 1$, such that*

$$(25) \quad \Delta^{(1)}(\Phi u) = \Psi u.$$

where the pair (Φ, Ψ) is admissible.

(iii) *There exist a non-negative integer σ and a polynomial Ψ , with $\deg \Psi = p \geq 1$, such that*

$$(26) \quad \Phi(s) \Delta^{(1)} B_n(s-1) + \Psi(s) B_n(s-1) = \sum_{\nu=n-t}^{n+\sigma(n)} \tilde{\lambda}_{n,\nu} B_{\nu+1}(s), \quad n \geq t,$$

$$(27) \quad \tilde{\lambda}_{n,n-t} \neq 0, \quad n \geq t,$$

where $\sigma = \max(p - 1, t - 2)$, the pair (Φ, Ψ) is admissible, and

$$(28) \quad \sigma(n) = \begin{cases} p - 1, & n = 0, \\ \sigma, & n \geq 1. \end{cases}$$

We can write

$$(29) \quad \tilde{\lambda}_{n,\nu} = -[\nu + 1] \frac{\langle u, B_n^2 \rangle}{\langle u, B_{\nu+1}^2 \rangle} \lambda_{\nu,n}, \quad 0 \leq \nu \leq n + \sigma.$$

Proof: (i) \Rightarrow (ii), (iii). Assuming (i), from Lemma 3.1 and taking $P_n = B_n$ and $Q_n = B_n^{[1]}$, we get

$$\Phi u_m = \sum_{\nu=0}^{\mu(m)} \lambda_{\nu,m} u_{\nu}^{[1]}, \quad m \geq 0.$$

On the other hand, (24) implies $\mu(m) = m + \sigma$, $m \geq 1$.

Taking into account that

$$(30) \quad \Delta^{(1)} u_m^{[1]} = -[m + 1] u_{m+1}, \quad m \geq 0,$$

we have

$$\Delta^{(1)}(\Phi u_m) = - \sum_{\nu=0}^{\mu(m)} \lambda_{\nu,m} [\nu + 1] u_{\nu+1}, \quad m \geq 0.$$

In accordance with the orthogonality of $\{B_n\}_{n \geq 0}$, we get

$$(31) \quad \Delta^{(1)}(\Phi B_m u) = -\Psi_{\mu(m)+1} u, \quad m \geq 0,$$

with

$$(32) \quad \Psi_{\mu(m)+1}(s) = \sum_{\nu=0}^{\mu(m)} \lambda_{\nu,m} [\nu + 1] B_{\nu+1}(s), \quad m \geq 0.$$

Taking $m = 0$ in (31), we have

$$(33) \quad \Delta^{(1)}(\Phi u) = -\Psi_{\mu(0)+1} u.$$

Inserting (33) in (31) and because u is quasi-definite, we get

$$\Phi(s) \Delta^{(1)} B_m(s-1) - \Psi_{\mu(0)+1}(s) B_m(s-1) = -\Psi_{\mu(m)+1}(s), \quad m \geq 0.$$

The consideration of the degrees in both hand sides leads to

- If $t - 1 > \mu(0) + 1$, which implies $t \geq 3$, then $t = \sigma + 2$, $\mu(0) < \sigma$.
- If $t - 1 \leq \mu(0) + 1$, then $\mu(0) = \sigma$, $t \leq \sigma + 2$.

Obviously, the pair $(\Phi, -\Psi_{\mu(0)+1})$ is admissible and putting $p = \mu(0) + 1$, we have $\sigma = \max(p - 1, t - 2)$. So (26) and (27) are valid from (29).

Thus, we have proved that (i) \Rightarrow (ii) and (i) \Rightarrow (iii).

(ii) \Rightarrow (iii). Consider $m \geq 0$. Thus

$$\Phi(s) \Delta^{(1)} B_m(s-1) + \Psi(s) B_m(s-1) = \sum_{\nu=0}^{m+\sigma(m)+1} \lambda'_{m,\nu} B_{\nu}(s).$$

We successively derive from this

$$\langle u, (\Phi(s) \Delta^{(1)} B_m(s-1) + \Psi(s) B_m(s-1)) B_{\mu} \rangle = \lambda'_{m,\mu} \langle u, B_{\mu}^2 \rangle, \quad 0 \leq \mu \leq m + \sigma + 1.$$

A straightforward calculation yields

$$(34) \quad \langle u, (\Phi(s) \Delta^{(1)} B_m(s-1) + \Psi(s) B_m(s-1)) B_{\mu} \rangle = -\langle u, \Phi(s) B_m(s) \Delta^{(1)} B_{\mu}(s) \rangle.$$

Then

$$-\langle u, \Phi(s) B_m(s) \Delta^{(1)} B_{\mu}(s) \rangle = \lambda'_{m,\mu} \langle u, B_{\mu}^2 \rangle.$$

Consequently, $\lambda'_{m,\mu} = 0$, $0 \leq \mu \leq m - t$, $\lambda'_{m,0} = 0$, $m \geq 0$. Moreover, for $\mu = m - t + 1$, $m \geq t$,

$$-\langle u, \Phi(s) P_m(s) \Delta^{(1)} P_{m-t+1}(s) \rangle = -[m - t + 1] \langle u, B_m^2 \rangle = \lambda'_{m,m-t+1} \langle u, B_{m-t+1}^2 \rangle.$$

Therefore, for $m \geq t$,

$$\Phi(s)\Delta^{(1)}B_m(s-1) + \Psi(s)B_m(s-1) = \sum_{\nu=m-t}^{m+\sigma(m)} \lambda'_{m,\nu+1}B_{\nu+1}(s), \quad \lambda'_{m,m-t+1} \neq 0.$$

(iii) \Rightarrow (i). From (26), we get

$$\begin{aligned} \sum_{\nu=0}^{m+\sigma(m)} \tilde{\lambda}_{m,\nu} \delta_{n,\nu+1} &= \langle u_n, \Phi(s)\Delta^{(1)}B_m(s-1) + \Psi(s)B_m(s-1) \rangle \\ &= -\langle \Delta^{(1)}(\Phi u_n) - \Psi u_n, B_m(s-1) \rangle. \end{aligned}$$

For $n = 0$, $\langle \Psi u - \Delta^{(1)}(\Phi u), B_m(s-1) \rangle = 0$, $m \geq 0$. Therefore

$$(35) \quad \Delta^{(1)}(\Phi u) = \Psi u.$$

Moreover, using (34) and the orthogonality of $\{B_n\}_{n \geq 0}$, we get

$$\langle u_n, \Phi(s)\Delta^{(1)}B_m(s-1) + \Psi(s)B_m(s-1) \rangle = -r_n^{-1} \langle u, \Phi(s)B_m(s)\Delta^{(1)}B_n(s) \rangle.$$

Furthermore, making $n \rightarrow n+1$, we obtain

$$\begin{cases} \langle (\Phi\Delta^{(1)}B_{n+1})u, B_m \rangle = 0, & m \geq n+t+1, \quad n \geq 0, \\ \langle (\Phi\Delta^{(1)}B_{n+1})u, B_{n+t} \rangle = -r_{n+1}\tilde{\lambda}_{n+t,n} \neq 0, & n \geq 0. \end{cases}$$

According to Lemma 2.1,

$$(\Phi\Delta^{(1)}B_{n+1})u = - \sum_{\nu=n-\sigma}^{n+t} r_n \tilde{\lambda}_{\nu,n} u_\nu, \quad n \geq \sigma.$$

The orthogonality of $\{B_n\}_{n \geq 0}$ leads to

$$(\Phi\Delta^{(1)}B_{n+1})u = - \sum_{\nu=n-\sigma}^{n+t} \left(\tilde{\lambda}_{\nu,n} \frac{\langle u, B_{n+1}^2 \rangle}{\langle u, B_\nu^2 \rangle} B_\nu \right) u, \quad n \geq 0.$$

From (35) and taking into account u is quasi-definite, we finally obtain (23)–(24) in accordance with (29). \square

In an analog way we can prove the following result

Lemma 3.3. [12, Lemma 3.1] *For any monic polynomial Φ , $\deg \Phi = t$, and any SMOP $\{B_n\}_{n \geq 0}$ with respect to u , the following statements are equivalent.*

(i) *There exists a non-negative integer σ such that the polynomials B_n satisfy*

$$(36) \quad \Delta^{(1)}(\Phi(s-1)B_n(s)) = \sum_{\nu=n-\sigma-1}^{n+t-1} \lambda_{n,\nu} B_\nu(s), \quad n \geq \sigma+1,$$

$$(37) \quad \lambda_{n,n-\sigma-1} \neq 0, \quad n \geq t+\sigma+2.$$

(ii) *There exists a polynomial Ψ , $\deg \Psi = p \geq 1$, such that*

$$(38) \quad \Delta^{(1)}(\Phi u) = \Psi u.$$

where the pair (Φ, Ψ) is admissible.

(iii) *There exist a non-negative integer σ and a polynomial Ψ , $\deg \Psi = p \geq 1$, such that*

$$(39) \quad \Phi(s)\Delta^{(1)}B_n(s-1) + \Psi(s)B_n(s-1) - B_n(s)\Delta^{(1)}\Phi(s-1) = \sum_{\nu=n-t+1}^{n+\sigma(n)+1} \tilde{\lambda}_{n,\nu} B_\nu(s), \quad n \geq t,$$

$$(40) \quad \tilde{\lambda}_{n,n-t+1} \neq 0, \quad n \geq t,$$

where $\sigma = \max(p-1, t-2)$ and the pair (Φ, Ψ) is admissible. We can write

$$(41) \quad \tilde{\lambda}_{n,\nu} = -\frac{\langle u, B_m^2 \rangle}{\langle u, B_\nu^2 \rangle} \lambda_{\nu,n}, \quad 0 \leq \nu \leq n + \sigma(n) + 1, \quad n \geq 0.$$

3.1. First Characterization of q -semiclassical polynomials.

Theorem 3.1. *For a monic polynomial Φ , $\deg \Phi = t$, and any SMOP $\{B_n\}_{n \geq 0}$ with respect to u , the following statements are equivalent.*

- (i) *There exist a non-negative integer σ , an integer $p \geq 1$, and an integer $r \geq \sigma + t + 1$, with $\sigma = \max(t-2, p-1)$, such that*

$$(42) \quad \sum_{\nu=n-\sigma}^{n+t} \alpha_{n,\nu} B_\nu(s) = \sum_{\nu=n-t}^{n+t} v_{n,\nu} B_\nu^{[1]}(s), \quad n \geq \max(\sigma, t),$$

where $\alpha_{n,n+t} = v_{n,n+t} = 1$, $n \geq \max(\sigma, t)$, $\alpha_{r,r-\sigma} v_{r,r-t} \neq 0$,

$$\langle \Delta^{(1)}(\Phi u), B_n \rangle = 0, \quad p+1 \leq n \leq \sigma + 2t + 1, \quad \langle \Delta^{(1)}(\Phi u), B_p \rangle \neq 0,$$

and if $p = t-1$, then $\lim_{q \uparrow 1} \langle u, B_p^2 \rangle^{-1} \langle u, \Phi \Delta^{(1)} B_p \rangle \neq -m$, $m \in \mathbb{N}^*$.

- (ii) *There exists a polynomial Ψ , $\deg \Psi = p \geq 1$, such that*

$$\Delta^{(1)}(\Phi u) = \Psi u,$$

and the pair (Φ, Ψ) is admissible.

Proof: (i) \Rightarrow (ii). Consider the SMP $\{\Omega_n\}_{n \geq 0}$ defined by

$$\begin{aligned} \Omega_{n+t+1}(s) &= \sum_{\nu=n-t}^{n+t} \frac{[n+t+1]}{[\nu+1]} v_{n,\nu} B_{\nu+1}(s), \quad n \geq \sigma + t + 1, \\ \Omega_n(s) &= B_n(s), \quad 0 \leq n \leq \sigma + 2t + 1. \end{aligned}$$

From (42),

$$(43) \quad \Delta^{(1)}(\Omega_{n+t+1}(s)) = [n+t+1] \sum_{\nu=n-\sigma}^{n+t} \alpha_{n,\nu} B_\nu(s), \quad n \geq \sigma + t + 1.$$

Since u is quasi-definite, then

$$\begin{aligned} \langle \Delta^{(1)}(\Phi u), \Omega_{n+t+1} \rangle &= -\langle u, \Phi \Delta^{(1)} \Omega_{n+t+1} \rangle \\ &= -[n+t+1] \sum_{\nu=n-\sigma}^{n+t} \alpha_{n,\nu} \langle u, \Phi B_\nu \rangle = 0, \quad n \geq \sigma + t + 1. \end{aligned}$$

Therefore, $\langle \Delta^{(1)}(\Phi u), \Omega_n \rangle = 0$, $n \geq \sigma + 2t + 1$, and by hypothesis $\langle \Delta^{(1)}(\Phi u), \Omega_n \rangle = 0$, $p+1 \leq n \leq \sigma + 2t + 1$, then $\langle \Delta^{(1)}(\Phi u), \Omega_n \rangle = 0$ for $n \geq p+1$, and $\langle \Delta^{(1)}(\Phi u), \Omega_p \rangle \neq 0$. Hence, if we denote $\{w_n\}_{n \geq 0}$ the dual sequence of $\{\Omega_n\}_{n \geq 0}$ and apply Lemma 2.1, then

$$(44) \quad \Delta^{(1)}(\Phi u) = \sum_{\nu=1}^p \langle \Delta^{(1)}(\Phi u), B_\nu \rangle w_\nu.$$

On the other hand, if we take $\hat{t} = 2t$, $\hat{\sigma} = \sigma + 1$, and $\hat{r} = r + t + 1$, then

$$\begin{aligned} \Omega_n(s) &= \sum_{\nu=n-\hat{t}}^n \tilde{v}_{n,\nu} B_\nu(s), \quad n \geq \hat{\sigma} + \hat{t} + 1, \\ \Omega_n(s) &= B_n(s), \quad 0 \leq n \leq \hat{\sigma} + \hat{t}, \end{aligned}$$

where

$$\begin{aligned}\tilde{v}_{n,\nu} &= \frac{[n]}{[\nu]} v_{n-t-1,\nu-1}, \quad n - \hat{t} \leq \nu \leq n, \quad n \geq \hat{\sigma} + \hat{t} + 1, \\ \tilde{v}_{\hat{r},\hat{r}-\hat{t}} &= \frac{[r+t+1]}{[r-t+1]} v_{r,r-t} \neq 0, \quad \hat{r} \geq \sigma + 2t + 2 = \hat{\sigma} + \hat{t} + 1.\end{aligned}$$

From Lemma 2.2 and (5), it follows that $w_k = u_k = \langle u, B_k^2 \rangle^{-1} B_k$, $0 \leq k \leq \hat{\sigma} = \sigma + 1$. So, relation (44) becomes

$$\Delta^{(1)}(\Phi u) = \Psi u,$$

where

$$\Psi(s) = - \sum_{\nu=1}^p \langle u, B_\nu^2 \rangle^{-1} \langle u, \Phi \Delta^{(1)} B_\nu \rangle B_\nu(s),$$

with $\deg \Psi = p$, as well as we have $\langle u, \Phi \Delta^{(1)} B_p \rangle \neq 0$ and, as a consequence, the pair (Φ, Ψ) is admissible with associated integer σ .

(ii) \Rightarrow (i). From Lemma 3.3 (i) and making $n \rightarrow n+1$ we have

$$(45) \quad \Delta^{(1)}(\Phi(s-1)B_{n+1}(s)) = \sum_{\nu=n-\sigma}^{n+t} \lambda_{n+1,\nu} B_\nu(s), \quad n \geq \sigma,$$

where $\lambda_{n+1,n+t} = [n+t+1]$, $n \geq \sigma$, and $\lambda_{n+1,n-\sigma} \neq 0$, $n \geq t + \sigma + 1$.

On the other hand, the orthogonality of $\{B_n\}_{n \geq 0}$ yields

$$\Phi(s-1)B_{n+1}(s) = \sum_{\nu=n-t}^{n+t} \frac{\langle u, \Phi(s-1)B_{n+1}(s)B_{\nu+1}(s) \rangle}{\langle u, B_{\nu+1}^2 \rangle} B_{\nu+1}(s), \quad n \geq t-1.$$

Hence,

$$(46) \quad \Delta^{(1)}(\Phi(s-1)B_{n+1}(s)) = \sum_{\nu=n-t}^{n+t} \frac{[\nu+1] \langle u, \Phi(s-1)B_{n+1}(s)B_{\nu+1}(s) \rangle}{\langle u, B_{\nu+1}^2 \rangle} B_\nu^{[1]}(s), \quad n \geq t.$$

From (45) and (46), we obtain (42) with

$$\begin{aligned}\alpha_{n,\nu} &= \frac{\lambda_{n+1,\nu}}{[n+t+1]}, \quad n - \sigma \leq \nu \leq n+t, \\ v_{n,\nu} &= \frac{[\nu+1] \langle u, \Phi(s-1)B_{n+1}(s)B_{\nu+1}(s) \rangle}{[n+t+1] \langle u, B_{\nu+1}^2 \rangle}, \quad n-t \leq \nu \leq n+t, \\ \alpha_{n,n-\sigma} v_{n,n-t} &\neq 0, \quad n \geq \sigma + t + 1.\end{aligned}$$

Then,

$$\langle \Delta^{(1)}(\Phi u), B_n \rangle = - \langle u, \Phi \Delta^{(1)} B_n \rangle = \begin{cases} 0, & p+1 \leq n \leq \sigma + 2t + 1, \\ \frac{1}{[p]!} [\Delta^{(1)}]^p \Psi(0) \langle u, B_p^2 \rangle, & n = p = \deg \Psi, \end{cases}$$

and if $p = t-1$, the q -admissibility of (Φ, Ψ) yields $\lim_{q \uparrow 1} \langle u, B_p^2 \rangle^{-1} \langle u, \Phi \Delta^{(1)} B_p \rangle \neq -m$, $m \in \mathbb{N}^*$.

□

In the case of q -classical linear functionals, we get the following result

Corollary 3.3. *Let $\{B_n\}_{n \geq 0}$ be a SMOP with respect to u , and a monic polynomial Φ , with $\deg \Phi = t \leq 2$, such that $\langle u, \Phi \rangle \neq 0$, then the following statements are equivalent.*

- (i) *The linear functional u is q -classical, i.e. there exists a polynomial Ψ with $\deg \Psi = 1$ such that $\Delta^{(1)}(\Phi u) = \Psi u$.*
- (ii) *$\sum_{\nu=n}^{n+t} \alpha_{n,\nu} B_\nu(s) = \sum_{\nu=n-t}^{n+t} v_{n,\nu} B_\nu^{[1]}(s)$, $n \geq t$. Furthermore, there exists an integer $r \geq t+1$ such that $\alpha_{r,r} v_{r,r-t} \neq 0$, and if $t = 2$ then $\lim_{q \uparrow 1} \langle u, B_1^2 \rangle^{-1} \langle u, \Phi \rangle \neq -m$, $m \in \mathbb{N}^*$.*

3.2. Second Characterization of q -semiclassical polynomials. From the previous characterization, we can not recover the second structure relation of q -classical orthogonal polynomials (2). Our goal is to establish the characterization that allows us to deduce such a case. First, we have the following result.

Proposition 3.3. *For any monic polynomial Φ , with $\deg \Phi = t$, and any SMOP $\{B_n\}_{n \geq 0}$ with respect to u , the following statements are equivalent.*

(i) *There exists a polynomial Ψ , $\deg \Psi = p \geq 1$, such that*

$$(47) \quad \Delta^{(1)}(\Phi u) = \Psi u,$$

where the pair (Φ, Ψ) is admissible.

(ii) *There exist a non-negative integer σ and a polynomial Ψ , with $\deg \Psi = p \geq 1$, such that*

$$(48) \quad \Phi(s)[\Delta^{(1)}]^2 B_n(s-1) + \Delta^{(1)}(\Psi(s)B_n(s-1)) - B_n(s)[\Delta^{(1)}]^2 \Phi(s-1) = \sum_{\nu=n-\sigma}^{n+\sigma(n)} \vartheta_{n,\nu} B_\nu(s), \quad n \geq \sigma,$$

where $\vartheta_{n,n-\sigma} \neq 0$ either $n \geq \sigma + t + 1$ or $n = \sigma + t$ and $p \geq t - 1$, $\sigma = \max(t - 2, p - 1)$, and the pair (Φ, Ψ) is admissible. We can write

$$(49) \quad \vartheta_{n,\nu} = \frac{\langle u, B_n^2 \rangle}{\langle u, B_\nu^2 \rangle} \vartheta_{\nu,n}, \quad 0 \leq \nu \leq n + \sigma(n), \quad n \geq 0.$$

Proof: We have

$$(50) \quad \Phi(s)[\Delta^{(1)}]^2 B_n(s-1) + \Delta^{(1)}(\Psi(s)B_n(s-1)) - B_n(s)[\Delta^{(1)}]^2 \Phi(s-1) = \sum_{\nu=0}^{n+\sigma(n)} \vartheta_{n,\nu} B_\nu(s), \quad n \geq 0,$$

where for all integers $0 \leq \nu \leq n + \sigma(n)$, and $n \geq 0$,

$$\langle u, B_\nu^2 \rangle \vartheta_{n,\nu} = \langle u, (\Phi(s)[\Delta^{(1)}]^2 B_n(s-1) + \Delta^{(1)}(\Psi(s)B_n(s-1)) - B_n(s)[\Delta^{(1)}]^2 \Phi(s-1)) B_\nu \rangle.$$

Taking into account (5) and (48), a straightforward calculation leads to

$$\langle u, B_\nu^2 \rangle \vartheta_{n,\nu} = \langle u, (\Phi(s)[\Delta^{(1)}]^2 B_\nu(s-1) + \Delta^{(1)}(\Psi(s)B_\nu(s-1)) - B_\nu(s)[\Delta^{(1)}]^2 \Phi(s-1)) B_n \rangle.$$

Therefore, inserting (50)

$$\langle u, B_\nu^2 \rangle \vartheta_{n,\nu} = \sum_{i=0}^{\nu+\sigma(\nu)} \vartheta_{\nu,i} \langle u, B_n^2 \rangle \delta_{i,n} = \vartheta_{\nu,n} \langle u, B_n^2 \rangle.$$

In particular, for $0 \leq \nu \leq n - \sigma - 1$, then $n \geq \nu + \sigma + 1 \geq \nu + \sigma(\nu) + 1$. Thus, we deduce $\vartheta_{\nu,n} = 0$. Hence $\vartheta_{n,\nu} = 0$, for $0 \leq \nu \leq n - \sigma - 1$.

For $\nu = n - \sigma$, and $n \geq \sigma + t$, we obtain

$$\begin{aligned} \langle u, B_{n-\sigma}^2 \rangle \vartheta_{n,n-\sigma} &= \langle u, \Delta^{(1)}(\Phi(s)\Delta^{(1)}B_{n-\sigma}(s-1) + \Psi(s)B_{n-\sigma}(s-1)) \rangle \\ &= -\langle u, \Delta^{(1)}(B_{n-\sigma}(s)\Delta^{(1)}\Phi(s-1))B_n \rangle = \sum_{nu=0}^{n+1} \tilde{\lambda}_{n-\sigma,\nu} \langle u, B_n \Delta^{(1)}B_\nu \rangle \\ &= [n+1] \tilde{\lambda}_{n-\sigma,n+1} \langle u, B_n^2 \rangle. \end{aligned}$$

But, from (40), we get $\vartheta_{n,n-\sigma} \neq 0$, either $n \geq \sigma + t + 1$, or $n = \sigma + t$ and $p \geq t - 1$.

As a consequence,

$$\Phi(s)[\Delta^{(1)}]^2 B_n(s-1) + \Delta^{(1)}(\Psi(s)B_n(s-1)) - B_n(s)[\Delta^{(1)}]^2 \Phi(s-1) = \sum_{\nu=n-\sigma}^{n+\sigma(n)} \vartheta_{n,\nu} B_\nu(s), \quad n \geq \sigma.$$

(ii) \Rightarrow (i). From (48)

$$\begin{aligned} \langle \Delta^{(1)}(\Phi(s-1)\Delta^{(1)}u) + ((\Delta^{(1)}\Phi(s-1)) - \Psi(s))\Delta^{(1)}u, B_n(s-1) \rangle &= 0, \quad n \geq \sigma + 1, \\ \langle \Delta^{(1)}(\Phi(s-1)\Delta^{(1)}u) + ((\Delta^{(1)}\Phi(s-1)) - \Psi(s))\Delta^{(1)}u, B_n(s-1) \rangle &= \langle u, 1 \rangle \vartheta_{n,0}, \quad n \leq \sigma. \end{aligned}$$

According to Lemma 2.1

$$\begin{aligned} \Delta^{(1)}(\Phi(s-1)\Delta^{(1)}u) + ((\Delta^{(1)}\Phi(s-1)) - \Psi(s))\Delta^{(1)}u &= \sum_{n=0}^{\sigma} \frac{\langle u, 1 \rangle \vartheta_{n,0}}{\langle u, B_n^2 \rangle} B_n(\nabla u - u) \\ &= \sum_{n=0}^{\sigma(0)} \vartheta_{0,n} B_n(\nabla u - u). \end{aligned}$$

Finally, a direct calculation yields

$$\Delta^{(1)}(\Delta^{(1)}(\Phi u) - \Psi u) = 0,$$

then $\Delta^{(1)}(\Phi u) - \Psi u = 0$.

Moreover, since $\sigma(n) = \sigma$ and $\vartheta_{n,n+\sigma} = [n+\sigma+1]\tilde{\lambda}_{n,n+\sigma+1} \neq 0$, for $n \geq t+1$, then $\tilde{\lambda}_{n,n+\sigma+1} \neq 0$, $n \geq t+1$. The q -admissibility of the pair (Φ, Ψ) follows taking into account the value of $\tilde{\lambda}_{n+\sigma(n)+1}$. \square

Our main result is the next one.

Theorem 3.2. *For any monic polynomial Φ , $\deg \Phi = t$, and any SMOP $\{B_n\}_{n \geq 0}$ with respect to u , the following statements are equivalent.*

- (i) *There exist a non-negative integer σ , an integer $p \geq 1$, and an integer $r \geq \sigma + t + 1$, with $\sigma = \max(t-2, p-1)$, such that*

$$(51) \quad \sum_{\nu=n-\sigma}^{n+\sigma} \xi_{n,\nu} B_\nu(s) = \sum_{\nu=n-t}^{n+\sigma} \varsigma_{n,\nu} B_\nu^{[1]}(s),$$

where $\xi_{n,n+\sigma} = \varsigma_{n,n+\sigma} = 1$, $n \geq \max(\sigma, t+1)$, $\xi_{r,r-\sigma} \varsigma_{r,r-t} \neq 0$,

$$\begin{cases} \langle \Delta^{(1)}(\Phi u), B_m \rangle = 0, & p+1 \leq m \leq 2\sigma + t + 1, \\ \langle \Delta^{(1)}(\Phi u), B_p \rangle \neq 0, \end{cases}$$

and if $p = t-1$, then $\lim_{q \uparrow 1} \langle u, B_p^2 \rangle^{-1} \langle u, \Phi \Delta^{(1)} B_p \rangle \neq m$, $m \in \mathbb{N}^*$ (q -admissibility condition).

- (ii) *There exists a polynomial Ψ , $\deg \Psi = p \geq 1$, such that*

$$(52) \quad \Delta^{(1)}(\Phi u) = \Psi u,$$

where the pair (Φ, Ψ) is admissible.

Proof: (i) \Rightarrow (ii). Let us consider the SMP $\{\Xi_n\}_{n \geq 0}$ given by

$$\begin{aligned} \Xi_{n+\sigma+1}(x) &= \sum_{\nu=n-t}^{n+\sigma} \frac{[n+\sigma+1]}{[\nu+1]} \varsigma_{n,\nu} B_{\nu+1}(x), \quad n \geq \sigma + t + 1, \\ \Xi_n(x) &= B_n(x), \quad 0 \leq n \leq 2\sigma + t + 1. \end{aligned}$$

A direct calculation yields

$$\Delta^{(1)}\Xi_{n+\sigma+1}(s) = [n+\sigma+1] \sum_{\nu=n-\sigma}^{n+\sigma} \xi_{n,\nu} B_\nu(s), \quad n \geq \sigma + t + 1.$$

Taking into account the linear functional u is quasi-definite, we get

$$\langle \Delta^{(1)}(\Phi u), \Xi_{n+\sigma+1} \rangle = -\langle u, \Phi \Delta^{(1)} \Xi_{n+\sigma+1}(s) \rangle = -[n+\sigma+1] \sum_{\nu=n-\sigma}^{n+\sigma} \xi_{n,\nu} \langle u, \Phi B_\nu \rangle = 0, \quad n \geq \sigma + t + 1.$$

From the assumption and Lemma 2.1, if we denote $\{w_n\}_{n \geq 0}$ the dual sequence of $\{\Xi_n\}_{n \geq 0}$, then we get

$$(53) \quad \Delta^{(1)}(\Phi u) = \sum_{\nu=0}^p \langle \Delta^{(1)}(\Phi u), B_\nu \rangle w_k.$$

Taking $\hat{t} = \sigma + t$, $\hat{\sigma} = \sigma + 1$, and $\hat{r} = r + \sigma + 1$, the polynomials Ξ_n can be rewritten as follows

$$\begin{aligned} \Xi_n(x) &= \sum_{\nu=n-\hat{t}}^n \tilde{\zeta}_{n,\nu} B_\nu(x), \quad n \geq \hat{\sigma} + \hat{t} + 1, \\ \Xi_n(x) &= B_n(x), \quad 0 \leq n \leq \hat{\sigma} + \hat{t}, \end{aligned}$$

where

$$\begin{aligned} \tilde{\zeta}_{n,\nu} &= \frac{[n]}{[\nu]} \zeta_{n-\sigma-1,\nu-1}, \quad n - \hat{t} \leq \nu \leq n, \quad n \geq \sigma + \hat{t} + 1, \\ \tilde{\zeta}_{\hat{r},\hat{r}-\hat{t}} &= \frac{[r+\sigma+1]}{[r-t+1]} \zeta_{r,r-t} \neq 0, \quad \hat{r} \geq 2\sigma + t + 2 \geq \hat{\sigma} + \hat{t} + 1. \end{aligned}$$

From Lemma 2.2, $w_k = u_k = \langle u, B_k^2 \rangle^{-1} B_k u$, $0 \leq k \leq \hat{\sigma} = \sigma + 1$. So, (53) becomes

$$\Delta^{(1)}(\Phi u) = \sum_{\nu=1}^p \left(\frac{\langle \Delta^{(1)}(\Phi u), B_\nu \rangle}{\langle u, B_\nu^2 \rangle} B_\nu \right) u = \Psi u.$$

Since $\langle \Delta^{(1)}(\Phi u), B_p \rangle \neq 0$, then $\deg \Psi = p$.

From the assumption, if $p = t - 1$, then

$$\lim_{q \uparrow 1} \frac{1}{[p]!} [\Delta^{(1)}]^p \Psi(0) = \lim_{q \uparrow 1} \frac{\langle \Delta^{(1)}(\Phi u), B_p \rangle}{\langle u, B_p^2 \rangle} = - \lim_{q \uparrow 1} \frac{\langle u, \Phi \Delta^{(1)} B_p \rangle}{\langle u, B_p^2 \rangle} \neq -m, \quad m \in \mathbb{N}^*.$$

Hence, the pair (Φ, Ψ) is admissible with associated integer σ .

(ii) \Rightarrow (i). From Lemma 3.2(iii), there exists a polynomial Ψ , $\deg \Psi = p \geq 1$, such that

$$(54) \quad \Phi(s-1)\Delta^{(1)}B_n(s-1) + \Psi(s)B_n(s-1) - B_n(s)\Delta^{(1)}\Phi(s-1) = \sum_{\nu=n-t+1}^{n+\sigma(n)+1} \tilde{\lambda}_{n,\nu} B_\nu(s), \quad n \geq t,$$

where $\tilde{\lambda}_{n,n-t+1} \neq 0$, $n \geq t$, $\sigma = \max(t-2, p-1)$, and the pair (Φ, Ψ) is admissible.

Taking q -differences in both hand sides of (54), we get

$$(55) \quad \Phi(s)[\Delta^{(1)}]^2 B_n(s-1) + \Delta^{(1)}(\Psi(s)B_n(s-1)) - B_n(s)[\Delta^{(1)}]^2 \Phi(s-1) = \sum_{\nu=n-t}^{n+\sigma(n)} \zeta_{n,\nu} B_\nu^{[1]}(s), \quad n \geq t,$$

where $\zeta_{n,\nu} = [\nu+1]\tilde{\lambda}_{n,\nu+1}$, $0 \leq \nu \leq n + \sigma(n)$, $n \geq t$.

From (48) and (55), we obtain (51) where

$$\begin{aligned} \xi_{n,\nu} &= \frac{\vartheta_{n,\nu}}{\vartheta_{n,n+\sigma}}, \quad n - \sigma \leq \nu \leq n + \sigma, \\ \varsigma_{n,\nu} &= \frac{[\nu+1]\tilde{\lambda}_{n,\nu+1}}{\vartheta_{n,n+\sigma}}, \quad n - t \leq \nu \leq n + t, \\ \xi_{n,n-\sigma}\varsigma_{n,n-t} &= \frac{[n-t+1]}{\vartheta_{n,n+\sigma}^2} \vartheta_{n,n-\sigma} \tilde{\lambda}_{n,n-t+1} \neq 0, \quad n \geq \sigma + t + 1. \end{aligned}$$

Finally,

$$\langle \Delta^{(1)}(\Phi u), B_n \rangle = \langle u, \Psi B_n \rangle = \begin{cases} 0, & p+1 \leq n \leq 2\sigma + t + 1, \\ (\langle u, B_p^2 \rangle / [p]!) [\Delta^{(1)}]^p \Psi(0) \neq 0, & n = p = \deg \Psi. \end{cases}$$

From the admissibility of the pair (Φ, Ψ) , if $p = t - 1$, then $\langle u, B_p^2 \rangle^{-1} \langle u, \Phi \Delta^{(1)} B_p \rangle \neq m$, $m \in \mathbb{N}^*$.

□

4. THE UNIFORM LATTICE $x(s) = s$

As a direct consequence from the operator $L_{q,\omega}$ and the q -linear lattice $x(s)$, we can recover the uniform lattice setting $x(s) = (q^s - 1)/(q - 1)$ and taking limit $q \rightarrow 1$. For instance, for Δ -classical orthogonal polynomials the structure relations (1) and (2) have been studied in [5].

Theorem 4.1. First Characterization of discrete semiclassical polynomials

For a monic polynomial Φ , $\deg \Phi = t$, and any SMOP $\{B_n\}_{n \geq 0}$ with respect to u , the following statements are equivalent.

- (i) There exist a non-negative integer σ , an integer $p \geq 1$, and an integer $r \geq \sigma + t + 1$, with $\sigma = \max(t - 2, p - 1)$, such that

$$(56) \quad \sum_{\nu=n-\sigma}^{n+t} \alpha_{n,\nu} B_\nu(s) = \sum_{\nu=n-t}^{n+t} v_{n,\nu} B_\nu^{[1]}(s), \quad n \geq \max(\sigma, t),$$

where $B_n^{[1]}(s) := (n+1)^{-1} \Delta B_{n+1}(s)$, $\alpha_{n,n+t} = v_{n,n+t} = 1$, $n \geq \max(\sigma, t)$, $\alpha_{r,r-\sigma} v_{r,r-t} \neq 0$,

$$\langle \Delta(\Phi u), B_n \rangle = 0, \quad p+1 \leq n \leq \sigma + 2t + 1, \quad \langle \Delta(\Phi u), B_p \rangle \neq 0,$$

and if $p = t - 1$, then $\langle u, B_p^2 \rangle^{-1} \langle u, \Phi \Delta B_p \rangle \neq -m$, $m \in \mathbb{N}^*$.

- (ii) There exists a polynomial Ψ , $\deg \Psi = p \geq 1$, such that

$$\Delta(\Phi u) = \Psi u,$$

and the pair (Φ, Ψ) is admissible.

Theorem 4.2. Second Characterization of discrete semiclassical polynomials For any monic polynomial Φ , $\deg \Phi = t$, and any SMOP $\{B_n\}_{n \geq 0}$ with respect to u , the following statements are equivalent.

- (i) There exist a non-negative integer σ , an integer $p \geq 1$, and an integer $r \geq \sigma + t + 1$, with $\sigma = \max(t - 2, p - 1)$, such that

$$(57) \quad \sum_{\nu=n-\sigma}^{n+\sigma} \xi_{n,\nu} B_\nu(s) = \sum_{\nu=n-t}^{n+\sigma} \varsigma_{n,\nu} B_\nu^{[1]}(s),$$

where $\xi_{n,n+\sigma} = \varsigma_{n,n+\sigma} = 1$, $n \geq \max(\sigma, t + 1)$, $\xi_{r,r-\sigma} \varsigma_{r,r-t} \neq 0$,

$$\begin{cases} \langle \Delta(\Phi u), B_m \rangle = 0, & p+1 \leq m \leq 2\sigma + t + 1, \\ \langle \Delta(\Phi u), B_p \rangle \neq 0, \end{cases}$$

and if $p = t - 1$, then $\langle u, B_p^2 \rangle^{-1} \langle u, \Phi \Delta B_p \rangle \neq m$, $m \in \mathbb{N}^*$ (admissibility condition).

- (ii) There exists a polynomial Ψ , $\deg \Psi = p \geq 1$, such that

$$(58) \quad \Delta(\Phi u) = \Psi u,$$

where the pair (Φ, Ψ) is admissible.

The proofs are analogous to the original ones setting $\omega = 1$, and taking limit $q \uparrow 1$. Therefore $L_{q,1} \equiv \Delta^{(1)}$ becomes Δ and $[n]$ becomes n .

Remark 4.1. Δ -semiclassical linear functionals have been studied in [11].

5. EXAMPLES

5.1. First example. Let $\{Q_n\}_{n \geq 0}$ be a SMOP that satisfies the following relation

$$(59) \quad (x(s+1) + v_{n,0})Q_n(s) = qQ_{n+1}^{[1]}(s) + \rho_n(s)Q_n^{[1]}(s),$$

where the lattice, $x(s)$, is q -linear, i.e. $x(s+1) - qx(s) = \omega$,

$$\begin{aligned}\rho_n &= \frac{q^{n+1} [n+1]}{\mathfrak{C} \gamma_{n+1}}, \quad n \geq 1, \quad \rho_0 = 0, \\ v_{n,0} &= \frac{\gamma_{n+2} \gamma_{n+1}}{q^n [n+2]} \mathfrak{C} + \rho_n - q\beta_n - \omega, \quad n \geq 0,\end{aligned}$$

and \mathfrak{C} is a constant, being $\{\beta_n\}_{n \geq 0}$ and $\{\gamma_n\}_{n \geq 0}$ the coefficients of the TTRR

$$xQ_n = Q_{n+1} + \beta_n Q_n + \gamma_n Q_{n-1}, \quad n \geq 1.$$

Then, from the above TTRR and Theorem 3.1, we get $\{Q_n\}_{n \geq 0}$ is a sequence of q -semiclassical orthogonal polynomials with respect to the linear functional v , solution of the Pearson equation

$$(60) \quad \Delta^{(1)}v = \Psi v,$$

of class $\sigma = 1$, with $\Phi(x) = 1$ and $\deg \Psi = 2$.

Then, it also satisfies the following relation

$$(61) \quad Q_n^{[1]}(s) = Q_n(s) + \lambda_{n,n-1} Q_{n-1}(s),$$

where $\lambda_{n,n-1} = \frac{\gamma_{n+1} \gamma_n}{q^n [n+1]} \mathfrak{C}$.

In fact, a straightforward calculation gives $\Psi(x) = -\frac{\mathfrak{C}}{q} Q_2(x) - \frac{1}{\gamma_1} Q_1(x)$.

Lemma 5.1. *Let $\{Q_n\}_{n \geq 0}$ be a SMOP with respect to the linear functional v satisfying (60). Then the sequence $\{Q_n\}_{n \geq 0}$ is not diagonal.*

Proof: Assume $\{Q_n\}_{n \geq 0}$ is diagonal with respect to ϕ , with $\deg \phi = t$, and index σ . Then from Corollary 3.2, $t/2 \leq \sigma \leq t+2$ and we have the following diagonal relation

$$\phi(s)Q_n(s) = \sum_{\nu=n-\sigma}^{n+t} \theta_{n,\nu} Q_\nu^{[1]}(s), \quad \theta_{n,n-\sigma} \neq 0, \quad n \geq \sigma.$$

If we denote by $\{v_n\}_{n \geq 0}$ and $\{v_n^{[1]}\}_{n \geq 0}$ the dual sequences of $\{Q_n\}_{n \geq 0}$ and $\{Q_n^{[1]}\}_{n \geq 0}$, respectively, then by Proposition 3.1 the last relation is equivalent to

$$(62) \quad \phi v_n^{[1]} = k_n \Omega_{n+\sigma} v, \quad n \geq 0,$$

where $k_n = \langle v, Q_{n+\sigma}^2 \rangle^{-1} \theta_{n+\sigma,n}$, and

$$\Omega_{n+\sigma}(x) = \sum_{\nu=0}^{n+\sigma} \frac{\theta_{\nu,n}}{\theta_{n+\sigma,n}} \frac{\langle v, Q_{n+\sigma}^2 \rangle}{\langle v, Q_\nu^2 \rangle} Q_\nu(x), \quad n \geq 0.$$

It is clear that v satisfies an infinite number of relations as (62). Indeed, by multiplying both hand sides of (62) by a monic polynomial, we get another diagonal relation.

For this reason, we will assume $t = \deg \phi$ is the minimum non-negative integer such that v satisfies diagonal relations as (62), i.e. the Eq. (62) cannot be simplified.

Notice that $t \geq 1$. Indeed, if we suppose that $t = 0$, then $0 \leq \sigma \leq 2$ and we recover the first structure relation characterizing q -classical sequences. This contradicts the fact that the sequence $\{Q_n\}_{n \geq 0}$ is q -semiclassical of class one.

Consequently, since $t \geq 1$ then $\sigma \geq 1$. Taking q -differences in both hand sides of (62) and using (5), from (60) and $\Delta^{(1)}v_n^{[1]} = -[n+1]v_{n+1}$, we obtain

$$(63) \quad \tilde{\phi} v_n^{[1]} = k_n \psi_n v, \quad n \geq 0,$$

where

$$\begin{aligned}\tilde{\phi}(s) &= [t]^{-1}\Delta^{(1)}\phi(s), \\ \psi_n(s) &= [t]^{-1}(\Omega_{n+\sigma}(s+1)\Psi(s) + \Delta^{(1)}\Omega_{n+\sigma}(s) + d_n\phi(s+1)Q_{n+1}(s)), \quad n \geq 0, \\ d_n &= [n+1](\langle v, Q_{n+1}^2 \rangle k_n)^{-1}, \quad n \geq 0.\end{aligned}$$

Notice that the polynomial $\tilde{\phi}$ is monic with $\deg \tilde{\phi} = t - 1$.

Moreover, taking into account u is a quasi-definite linear functional, combining (62) and (63) we obtain $\tilde{\phi}(x)\Omega_{n+\sigma}(x) = \phi(x)\psi_n(x)$, and analyzing the highest degree of this relation, we get ψ_n is a monic polynomial with $\deg \psi_n = n + \sigma - 1$. But, this contradicts the fact that $t = \deg \phi$ is the minimum nonnegative integer such that v satisfies diagonal relations as (62). \square

5.2. The q -Freud type polynomials. Let $\{P_n\}_{n \geq 0}$ be a SMOP with respect to a linear functional u such that $(u)_0 = \langle u, 1 \rangle = 1$ and the following relation

$$(64) \quad \Delta^{(1)}P_n(s) = [n]P_{n-1}(s) + a_nP_{n-3}(s), \quad n \geq 2,$$

holds, where $P_{-1} \equiv 0$, $P_0 \equiv 1$, and $P_1(x) = x$, being $x \equiv x(s) = q^s$, i.e. $\omega = 0$.

We know that this family satisfies a TTRR, i.e. there exist two sequences of complex numbers $\{b_n\}_n$ and $\{c_n\}_n$, $c_n \neq 0$, such that

$$xP_n = P_{n+1} + b_nP_n + c_nP_{n-1}, \quad n \geq 1.$$

Furthermore, from a direct calculation we get $a_n = K(q)q^{-n}c_nc_{n-1}c_{n-2}$, $n \geq 2$. In fact, the parameters c_n satisfy the non-linear recurrence relation

$$q[n]c_{n-1} + K(q)q^{-n+1}c_nc_{n-1}c_{n-2} = [n-1]c_n + K(q)q^{-n-1}c_{n+1}c_nc_{n-1}, \quad n \geq 1,$$

with $c_0 = 0$, $c_1 = -P_2(0) \neq 0$, and $\lim_{q \uparrow 1} K(q) = 4$.

Moreover, from Proposition 3.2 we deduce that $\Phi \equiv 1$ and thus $\sigma = 2$. As a consequence Ψ is a polynomial of degree 3. In other words, u is a q -semiclassical linear functional of class 2, i.e. u satisfies the following distributional equation

$$(65) \quad \Delta^{(1)}u = \Psi u, \quad \deg \Psi = 3.$$

Lemma 5.2. $\Psi(x) = -K(q)q^{-3}P_3(x) - c_1^{-1}P_1(x)$.

So, (65) is the q -analog of the Pearson equation for the Freud case.

Proof: From our hypothesis Ψ is a polynomial of degree 3, so $\Psi(x) = e_0P_0 + e_1P_1 + e_2P_2 + e_3P_3$. Then, taking into account $d_n^2 = c_nd_{n-1}^2$, $n \geq 1$, and the value of a_n , $n \geq 3$, we get

$$\begin{aligned}e_0d_0^2 &= e_0\langle u, P_0^2 \rangle = \langle \Psi u, P_0 \rangle = -\langle u, \Delta^{(1)}P_0 \rangle = 0, \\ e_1d_1^2 &= e_1\langle u, P_1^2 \rangle = \langle \Psi u, P_1 \rangle = -\langle u, \Delta^{(1)}P_1 \rangle = -1, \\ e_2d_2^2 &= e_2\langle u, P_2^2 \rangle = \langle \Psi u, P_2 \rangle = -\langle u, \Delta^{(1)}P_2 \rangle \stackrel{(64)}{=} -\langle u, [2]P_1 \rangle = 0, \\ e_3d_3^2 &= e_3\langle u, P_3^2 \rangle = \langle \Psi u, P_3 \rangle = -\langle u, \Delta^{(1)}P_3 \rangle \stackrel{(64)}{=} -\langle u, [3]P_2 + a_3P_0 \rangle = -a_3.\end{aligned}$$

\square

From Theorem 3.2, we can write the second structure relation as follows

$$(66) \quad B_{n+2} + \xi_{n,n+1}B_{n+1} + \xi_{n,n}B_n + \xi_{n,n-1}B_{n-1} + \xi_{n,n-2}B_{n-2} = B_{n+2}^{[1]} + \varsigma_{n,n+1}B_{n+1}^{[1]} + \varsigma_{n,n}B_n^{[1]}.$$

Using (64) we get

$$\begin{aligned}\xi_{n,n+1} &= \varsigma_{n,n+1}, & \xi_{n,n} &= [n+3]^{-1}a_{n+3} + \varsigma_{n,n}, \\ \xi_{n,n-1} &= [n+2]^{-1}\varsigma_{n,n+1}a_{n+2}, & \xi_{n,n-2} &= [n+1]^{-1}\varsigma_{n,n}a_{n+1}.\end{aligned}$$

Moreover, combining both structure relations if $P_n(x) = \sum_{j=0}^n \lambda_{n,j} x^{n-j}$, then $\lambda_{n,2k+1} = 0$ for nonnegative integers n, k such that $0 \leq k \leq (n-1)/2$, and

$$\lambda_{n,0} = 1, \quad \lambda_{n,2k+2} = \frac{[n]c_{n-1}\lambda_{n-2,2k} + a_n\lambda_{n-3,2k}}{[n-2k-2] - [n]}, \quad 1 \leq k \leq n/2.$$

In fact, with these values, we obtain $c_n = \lambda_{n,2} - \lambda_{n+1,2}$, $b_n = \lambda_{n,1} - \lambda_{n+1,1} = 0$, and $\xi_{n,n+1} = \xi_{n,n-1} = \varsigma_{n,n+1} = 0$, $n \geq 0$. Hence, we can rewrite (66) as

$$(67) \quad (x^2 + \tilde{v}_{n,0})B_n = B_{n+2}^{[1]} + \tilde{\rho}_n B_n^{[1]},$$

where $\tilde{v}_{n,0} = \frac{a_{n+3}}{[n+3]} + \frac{q^{n+1}[n+1]}{K(q)c_{n+1}} - c_{n+1} - c_n$, and $\tilde{\rho}_n = \frac{q^{n+1}[n+1]}{K(q)c_{n+1}}$.

Lemma 5.3. *The moments of the linear functional u , $\{(u)_n\}_{n \geq 0}$, satisfy the following relation*

$$(68) \quad [n+1](u)_n = K(q)q^{-3}(u)_{n+4} + \left(\frac{1}{c_1} - \frac{[3]c_2 + a_3}{q(1+q)} \right) (u)_{n+2}, \quad n \geq 0,$$

where $(u)_0 = 1$.

Therefore, taking into account that $(u)_1 = (u)_3 = 0$, we can deduce u is a symmetric linear functional, i.e. $(u)_{2n+1} = \langle u, x^{2n+1} \rangle = 0$, $n \geq 0$.

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